

REQUEST

FOR SERVICE ABROAD OF JUDICIAL OR EXTRAJUDICIAL DOCUMENTS

DEMANDE

AUX FINS DE SIGNIFICATION OU DE NOTIFICATION A L'ÉTRANGER
D'UN ACTE JUDICIAIRE OU EXTRAJUDICIAIREObergericht Zürich
Internationale Rechtshilfe
(Bitte Ref.Nr. immer angeben)

WR 080697

Convention on the service abroad of judicial and extrajudicial documents in civil or
commercial matters, signed at The Hague, November 15, 1965.Convention relative à la signification et à la notification à l'étranger des actes judiciaires ou extrajudiciaires en matière civile
ou commerciale, signé à La Haye, le 15 Novembre 1965.Identity and address of the applicant
*Identité et adresse du requérant*Karina Shreefer, Esq.
LEGAL LANGUAGE SERVICES
8014 State Line Road, Suite 110
Leawood, Kansas 66208
UNITED STATES OF AMERICA
Tel. 1.913.341.3167Address of receiving authority
*Adresse de l'autorité destinataire*HAGUE CENTRAL AUTHORITY FOR THE CANTON OF
ZURICH
Obergericht des Kantons Zürich
Zentralbehörde Rechtshilfe Zivilsachen
Hirschengraben 15
8021 Zürich
SWITZERLANDThe undersigned applicant has the honour to transmit in duplicate the document listed below and, in conformity with article 5 of the
above-mentioned Convention, requests prompt service of one copy thereof on the addressee, i.e.,
(identity and address)*Le requérant soussigné a l'honneur de faire parvenir en double exemplaire à l'autorité destinataire les documents ci-dessous énumérés,
en la priant conformément à l'article 5 de la Convention précitée, d'en faire remettre sans retard un exemplaire au destinataire, savoir:*(identity and address) UBS AG (No. CH-270.3.004.646-4)
Bahnhofstrasse 45, 8098 Zürich, SWITZERLAND

- ☐ (a) in accordance with the provisions of sub-paragraph (a) of the first paragraph of article 5 of the Convention.*
(a) selon les formes légales (article 5, alinéa premier, lettre a).
- ☒ (b) in accordance with the following particular method (sub-paragraph (b) of the first paragraph of article 5)*:
(b) selon la forme particulière suivante (article 5, alinéa premier, lettre b) : Personal (in-hand) service by a judicial officer in accordance
with your internal law for service of documents upon persons or entities in your territory.
- ☐ (c) by delivery to the addressee, if he accepts it voluntarily (second paragraph of article 5)*;
(c) le cas échéant, par remise simple (article 5, alinéa 2).

The authority is requested to return or to have returned to the applicant a copy of the documents and of the annexes*—with a certificate
as provided on the reverse side.*Cette autorité est priée de renvoyer ou de faire renvoyer au requérant un exemplaire de l'acte et de ses annexes avec
l'attestation figurant au verso.*SERVICE IS REQUESTED PURSUANT TO PUBLIC LAW 97-462 OF FEB. 26, 1983 WHICH AMENDED RULE 4(c)(2)(a)
OF THE U.S. FEDERAL RULES OF CIVIL PROCEDURE.

List of documents

Énumération des pièces

Executed "Request," in duplicate

"Certificate" (unexecuted), in duplicate

"Summary" with Attachment "A," in duplicate

"Notice," in duplicate

Summons in a Civil Action, in English and German, in duplicate

Rule 7.1 Statement, in English and German, in duplicate

Civil Cover Sheet with Attachment, in English and German, in
duplicate

Class Action Complaint, in English and German, in duplicate

*Delete if inappropriate
*Rayer les mentions inutiles.*Done at Leawood, Kansas, U.S.A., the 8 May 2008

Fait à _____, le _____

Signature and/or stamp.

Signature et/ou cachet.

1 (Formerly OBD-116 which was formerly LAA-116,
both of which may still be used)USM-94
(Est. 11/22/77)

**CERTIFICATE
ATTESTATION**

The undersigned authority has the honour to certify, in conformity with article 6 of the Convention,
L'autorité soussignée a l'honneur d'attester conformément à l'article 6 de ladite Convention,

Obergericht Zürich
Internationale Rechtshilfe
(Bitte Ref.Nr. immer angeben)

WR 080697

1) that the document has been served *

1. que la demande a été exécutée

- the (date)

- le (date)

- at (place, street, number)

- à (localité, rue numéro)

May 22, 2008 (5/22/2008)

8001 Zürich, Bahnhofstrasse 45

- in one of the following methods authorised by article 5-

- dans une des formes suivantes prévues à l'article 5:

☐ (a) in accordance with the provisions of sub-paragraph (a) of the first paragraph of article 5 of the Convention*.

a) selon les formes légales (article 5, alinéa premier, lettre a).

☒ (b) in accordance with the following particular method*:

b) selon la forme particulière suivante :

clerk (officer)

Personal service by a community

☐ (c) by delivery to the addressee, who accepted it voluntarily. *

c) par remise simple

The documents referred to in the request have been delivered to:

Les documents mentionnés dans la demande ont été remis à:

-(identity and description of person)

-(identité et qualité de la personne)

Mr. Urs Wenzel, Mr. Moritz (...)

-relationship to the addressee (family, business, or other):

-liens de parenté, de subordination ou autres, avec le destinataire de l'acte:

the company

Legal representatives of

2) that the document has not been served, by reason of the following facts*:

2. que la demande n'a pas été exécutée, en raison des faits suivants:

In conformity with the second paragraph of article 12 of the Convention, the applicant is requested to pay or reimburse the expenses detailed in the attached statement*.

Conformément à l'article 12, alinéa 2, de ladite Convention, le requérant est prié de payer ou de rembourser les frais dont le détail figure au mémoire ci-joint.

Annexes

Annexes

Documents returned:

Pièces renvoyées:

In appropriate cases, documents establishing the service:

Le cas échéant, les documents justificatifs de l'exécution:

Obergericht des Kantons Zürich

Internationale Rechtshilfe

~~Postfach~~ Hirschengraben 15, the

~~Postfach~~

8021 Zürich (Schweiz), le

Signature and / or stamp.

Signature et / ou cachet.

5/29/2008

AO 440 (Rev. 8/01) Summons in a Civil Action

UNITED STATES DISTRICT COURT

Southern

District of

New York

HINDS COUNTY, MISSISSIPPI, on behalf of
itself and all other similarly situated entities,

SUMMONS IN A CIVIL ACTION

V.

WACHOVIA BANK, N.A., et al.

CASE NUMBER
08 CV 2516

TO: (Name and address of Defendant)

UBS AG
Bahnhofstrasse 45
CH-8098
Zurich, Switzerland

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

SERCARZ & RIOPELLE, LLP
152 W. 57TH STREET
NEW YORK, NY 10019

an answer to the complaint which is served on you with this summons, within 20 days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

J. MICHAEL McMAHON

MAR 13 2008

CLERK

DATE

(By) DEPUTY CLERK

AO 440 (Rev. 8/01) Summons in a Civil Action

RETURN OF SERVICE		
Service of the Summons and complaint was made by me ⁽¹⁾	DATE	
NAME OF SERVER (<i>PRINT</i>)	TITLE	
<i>Check one box below to indicate appropriate method of service</i>		
<div style="margin-bottom: 10px;"> <input type="checkbox"/> Served personally upon the defendant. Place where served: </div> <div style="margin-bottom: 10px;"> <input type="checkbox"/> Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left: </div> <div style="margin-bottom: 10px;"> <input type="checkbox"/> Returned unexecuted: </div> <div> <input type="checkbox"/> Other (specify): </div>		
STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL \$0.00
DECLARATION OF SERVER		
<p>I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.</p> <p>Executed on _____ <div style="display: flex; justify-content: space-around; width: 100%;"> Date Signature of Server </div> <div style="text-align: center; margin-top: 20px;"> _____ Address of Server </div> </p>		

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.